

# Speech and Language Processing

## Lecture 1

### Introduction and Preparation

Information and Communications Engineering Course

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# Lecture Plan (Shinozaki's part)

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I gives the first 6 lectures about speech recognition. Through these lectures, the backbone of the latest speech recognition techniques is explained.

1. 10/4 (remote)  
Introduction and Preparation
2. 10/4 (remote)  
Probability Distributions, Markov Models, Samplings
3. 10/6 (remote)  
Maximum Likelihood Estimation and EM Algorithm
4. 10/6 (remote)  
Bayesian Networks and Bayesian Inference
5. 10/7 (remote)  
Neural networks
6. 10/7 (remote)  
Reinforcement Learning

# Handouts

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- All the materials are available at my home page:

<http://www.ts.ip.titech.ac.jp/shinot/lectures/asrintro/>

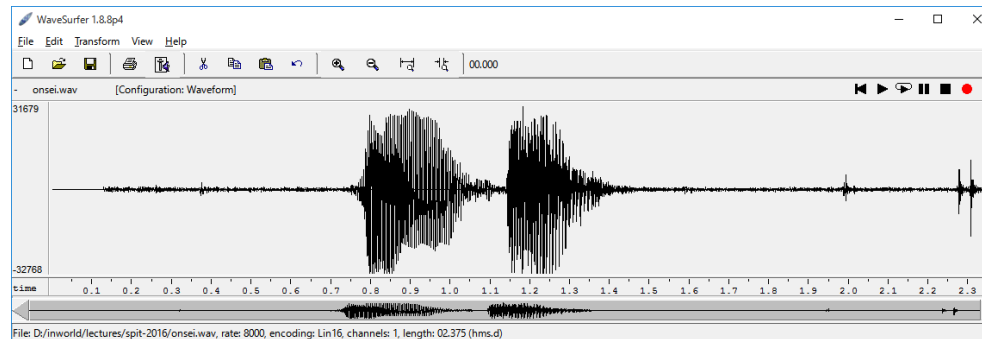


# Perception of Speech Sound

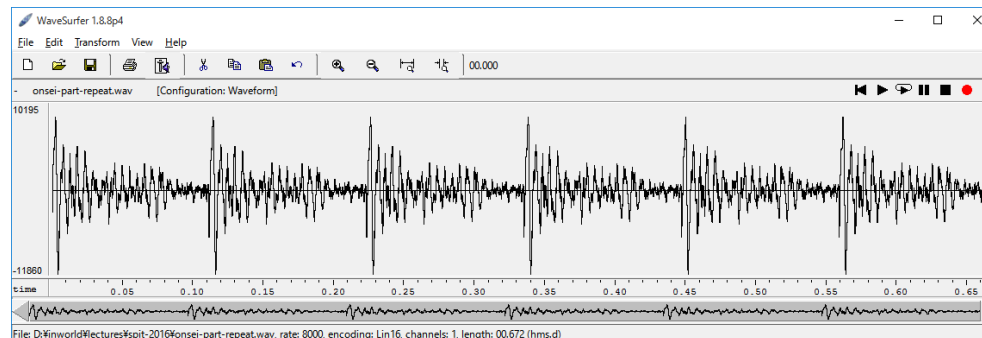
- Speech is a sound made by a physical process
- Frequency and time pattern are important

Speech sound  
pronunciating  
“Onsei”

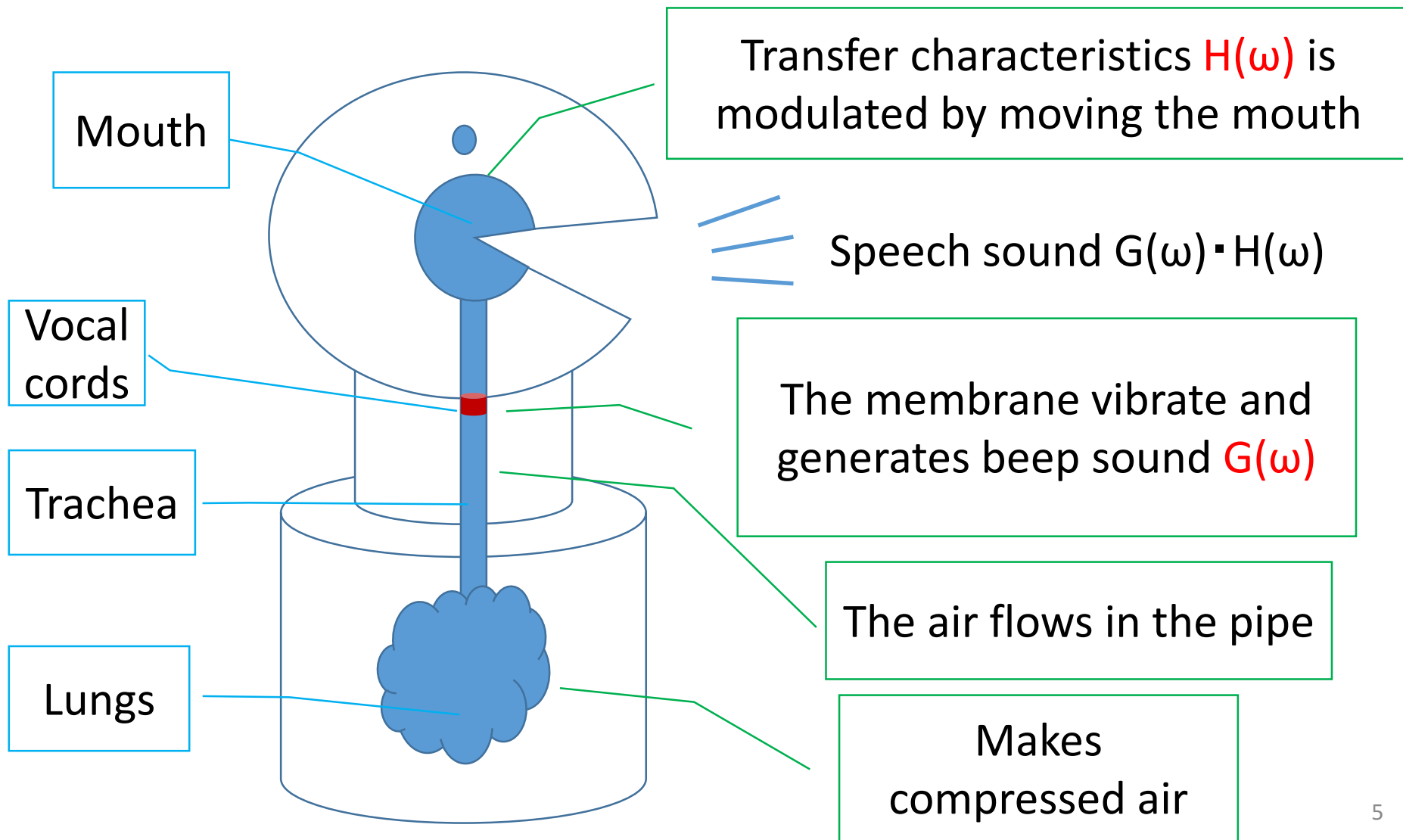
Cut the region  
near “n” and  
repeat it 6 times.



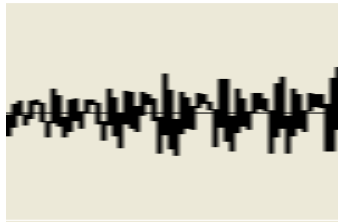
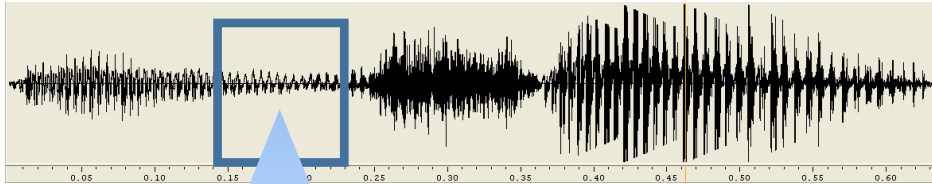
Sound is available  
in web version



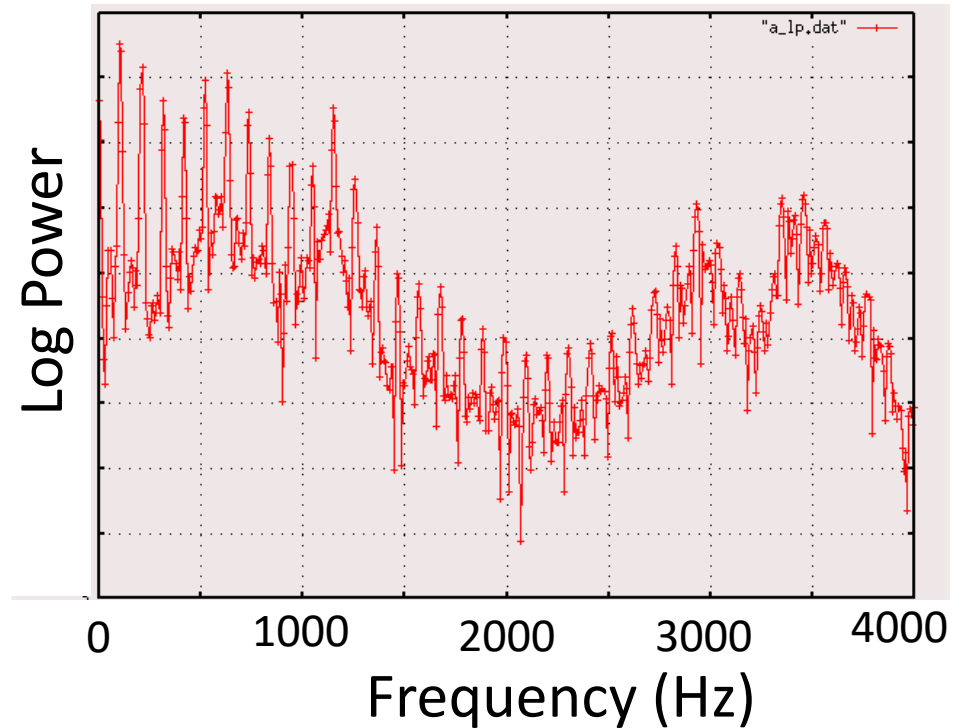
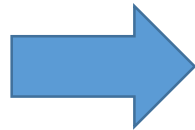
# Utterance Generation



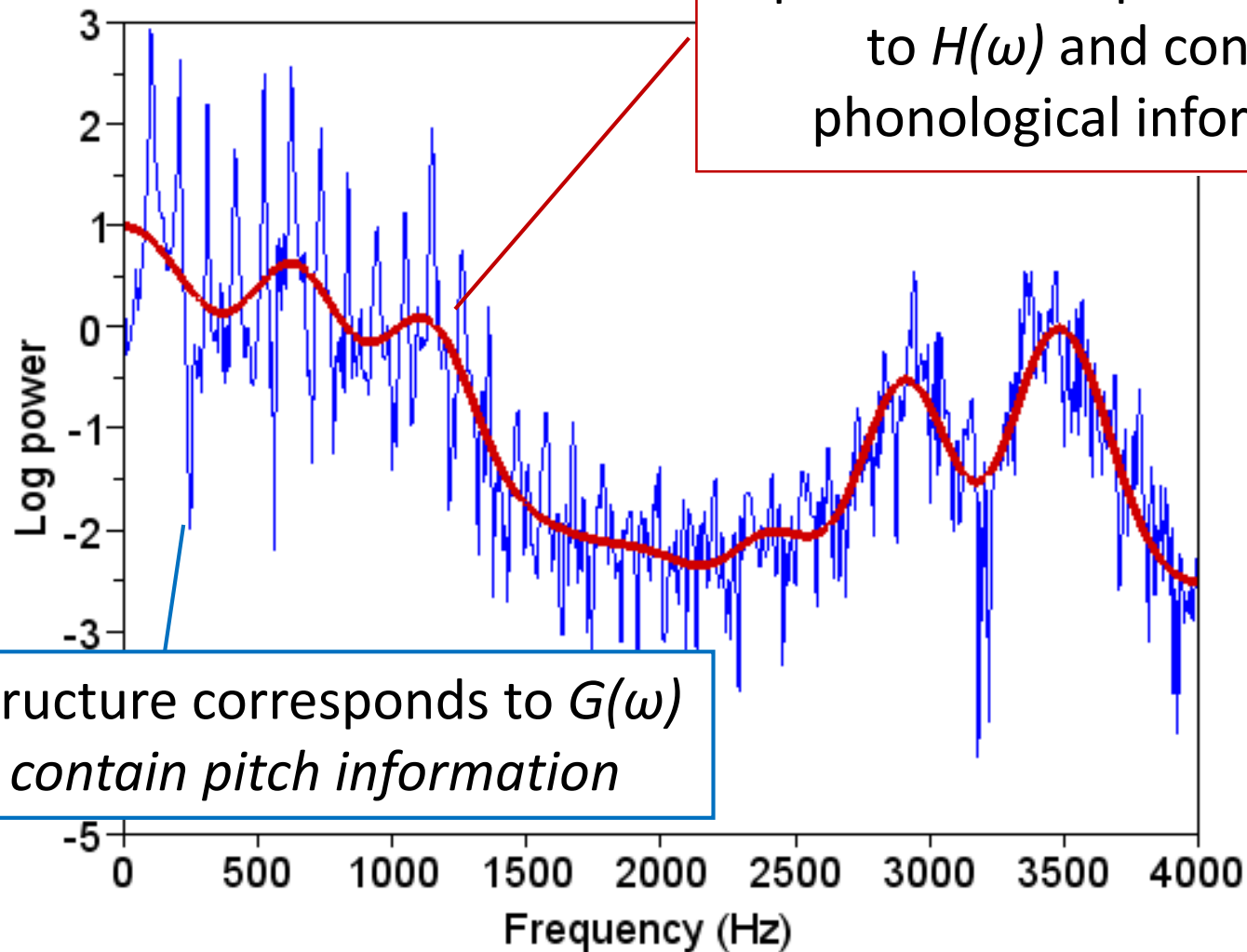
# Spectral Analysis



Fourier  
transform



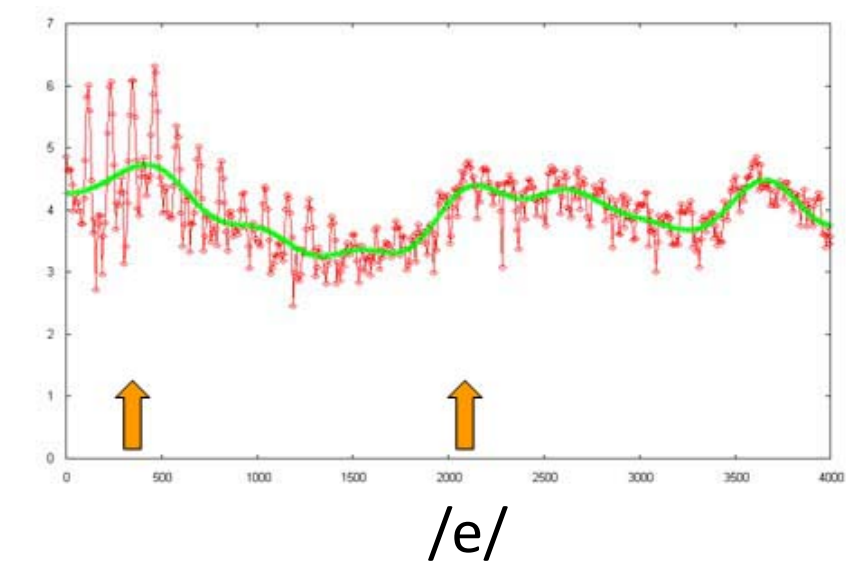
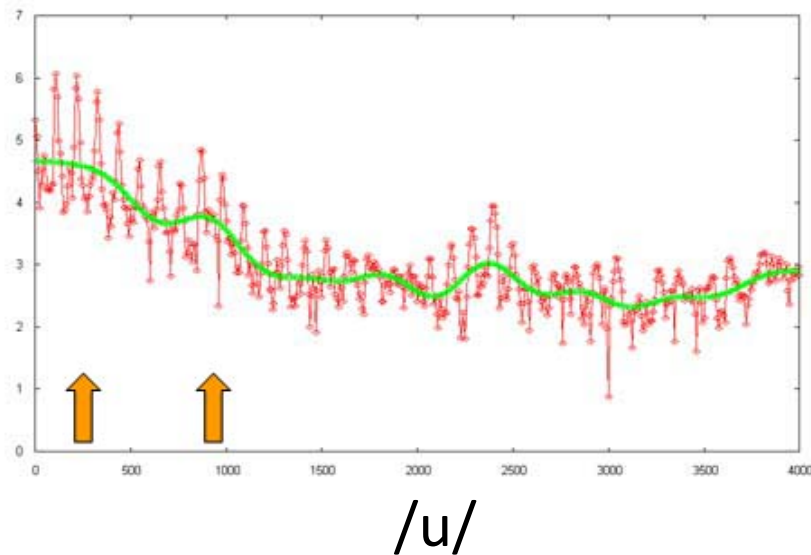
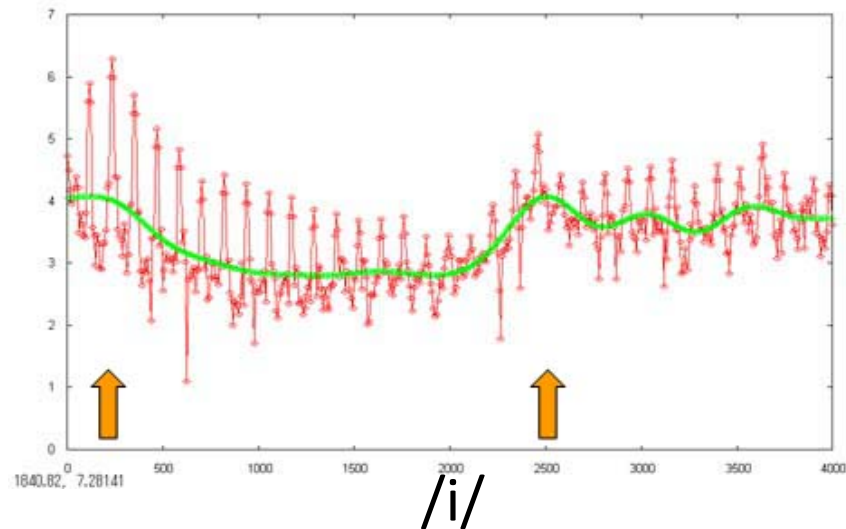
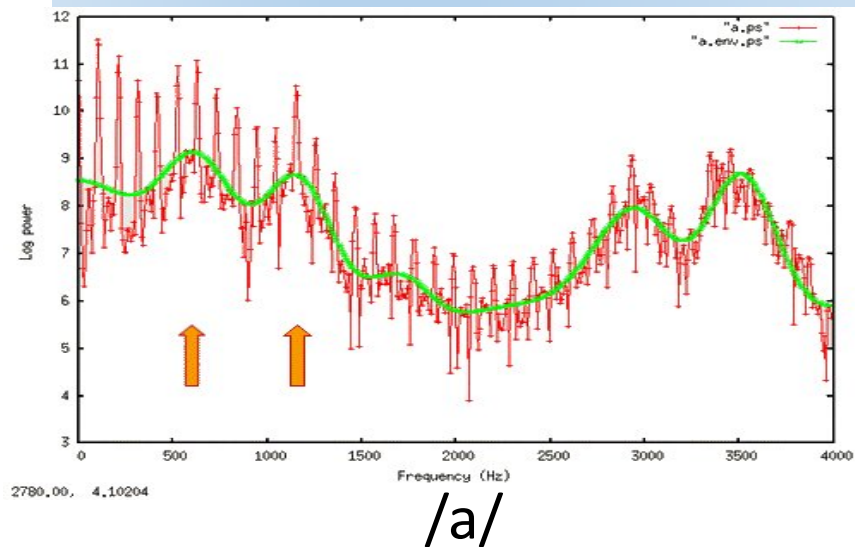
# Spectral Envelope



Spectral envelope corresponds to  $H(\omega)$  and contains phonological information

Fine structure corresponds to  $G(\omega)$  and contain pitch information

# Vowels and Spectral Envelopes





# Experiment: Replacing the Sound Source $G$

1. Record a voice



2. Analyze the voice, and decompose it to the sound source  $G$  and the transmission characteristics  $H$

$$\boxed{\begin{array}{c} X(\omega) \\ \text{(original voice)} \end{array}} = \boxed{G(\omega)} \times \boxed{H(\omega)}$$

4. Replace the sound source  $G$  with another one  $G'$

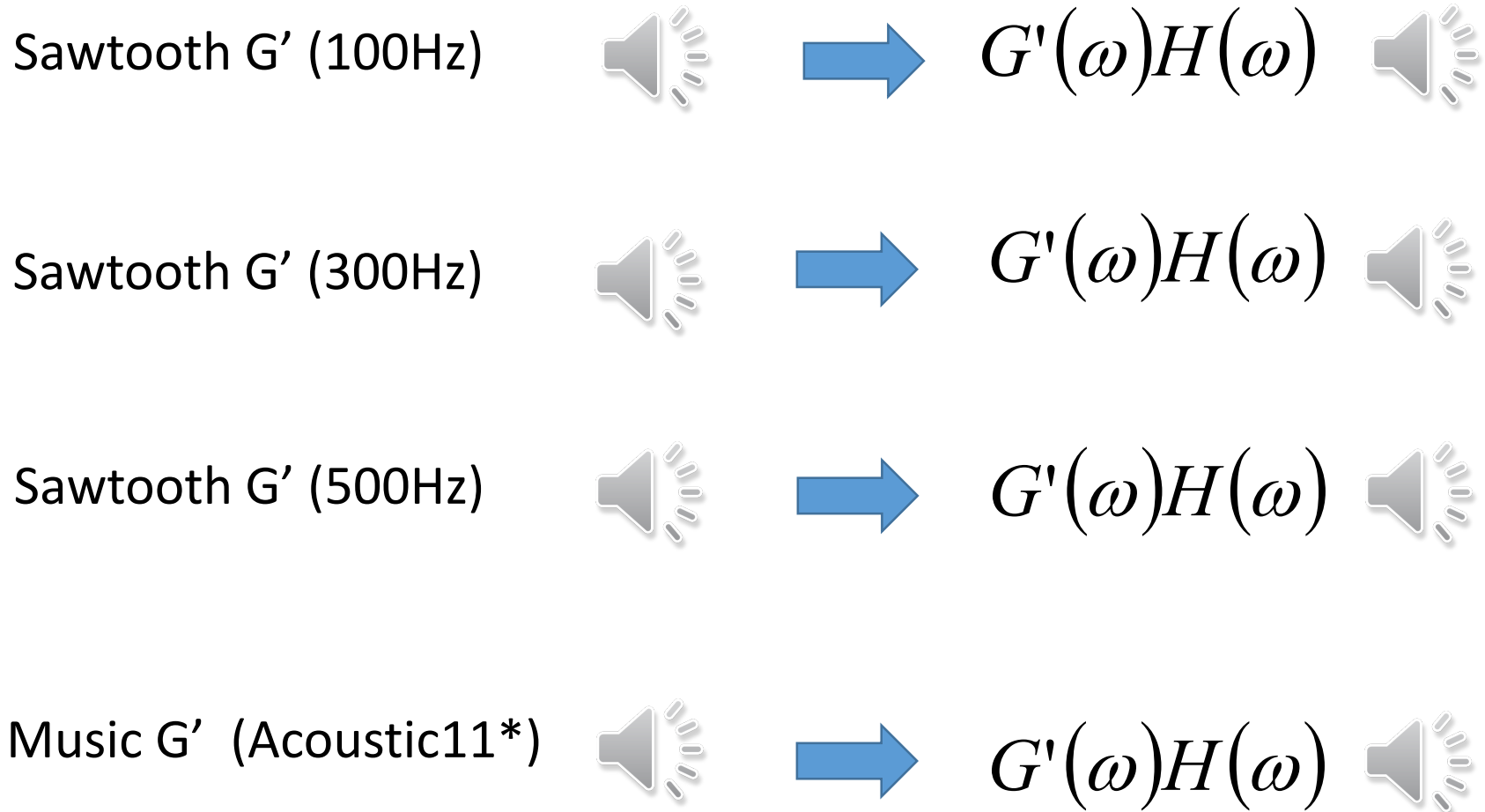
E.g: sawtooth wave



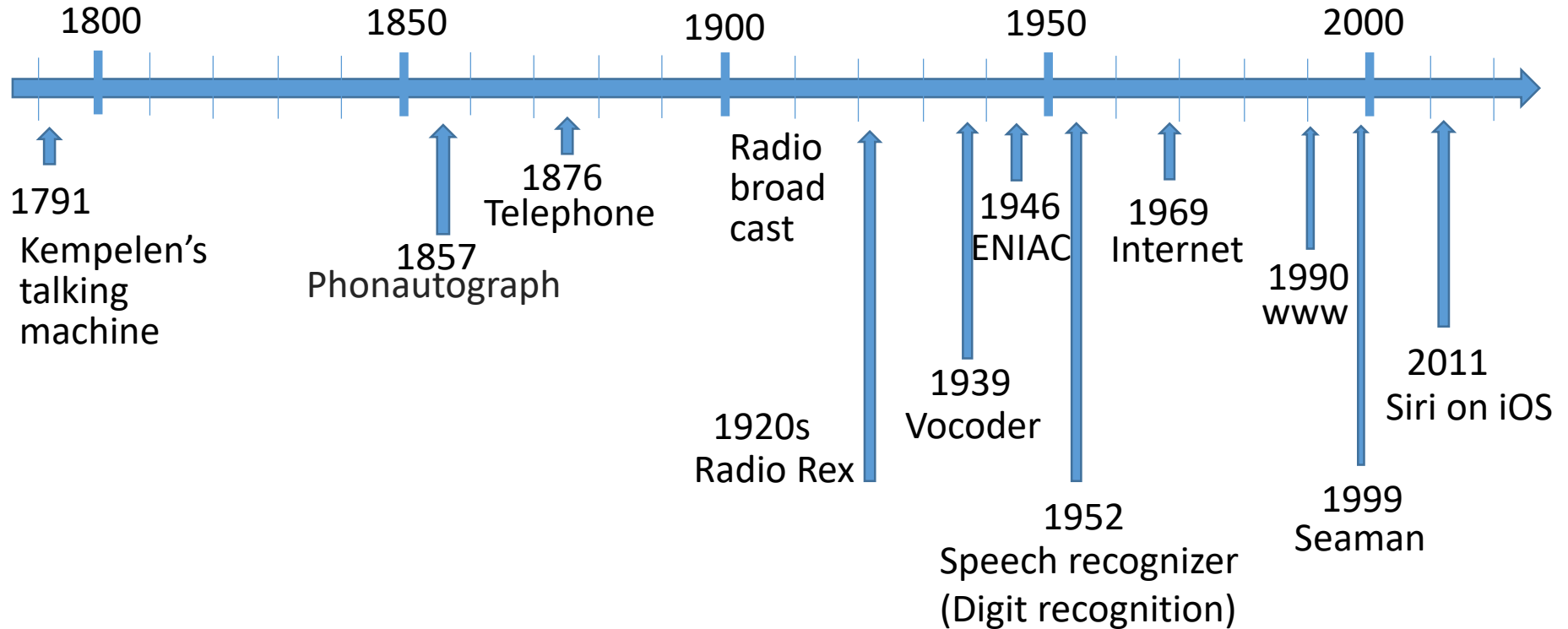
5. Compute  $G'(\omega) \times H(\omega)$  and re-generate waveform

$$\boxed{G'(\omega)} \times \boxed{H(\omega)} = \boxed{\begin{array}{c} X'(\omega) \\ \text{(synthesized sound)} \end{array}}$$

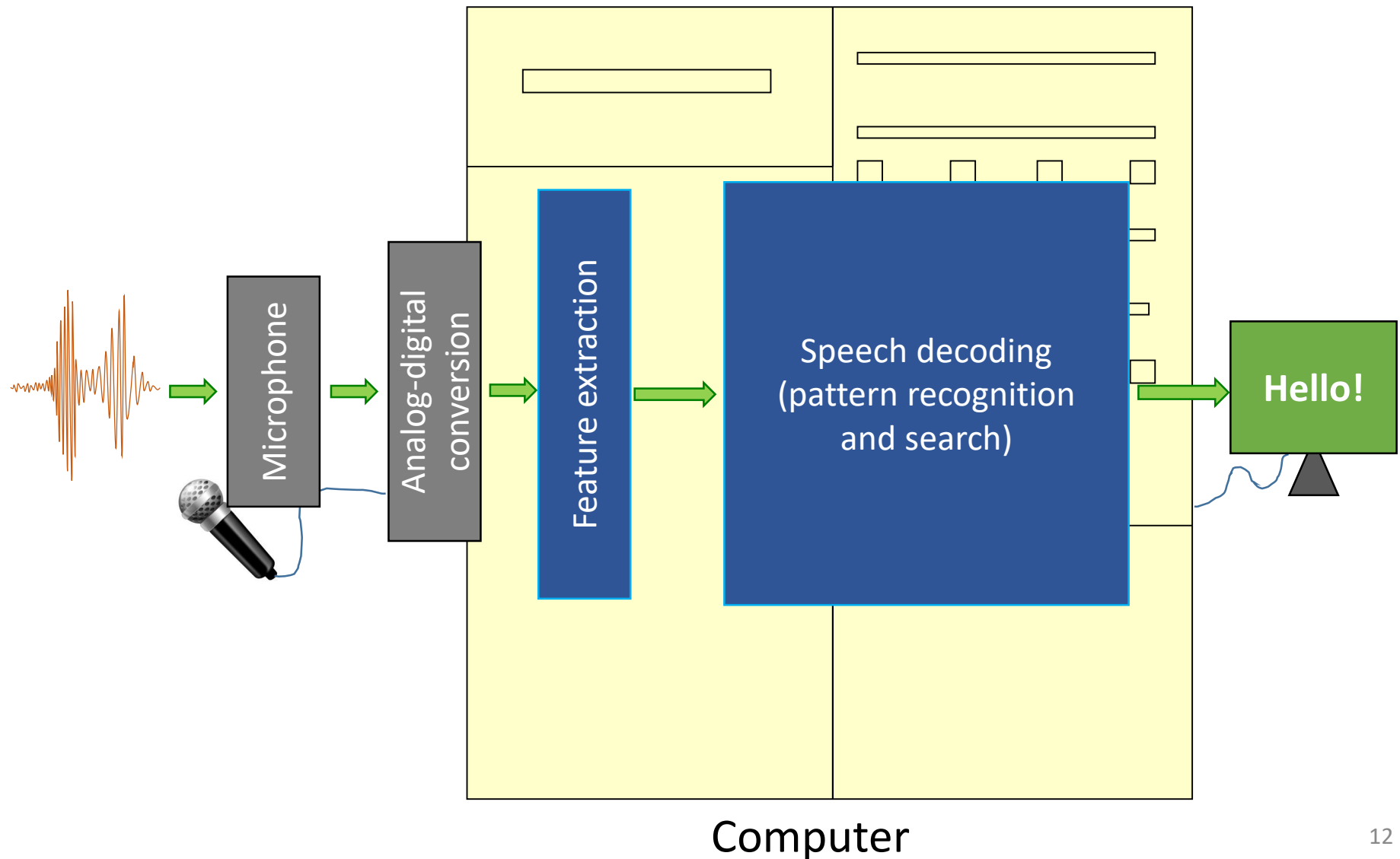
# Synthesized Voice Changing $G$



# History of Speech Technology



# Organization of a Speech Recognition System



# Applications of Speech Recognition

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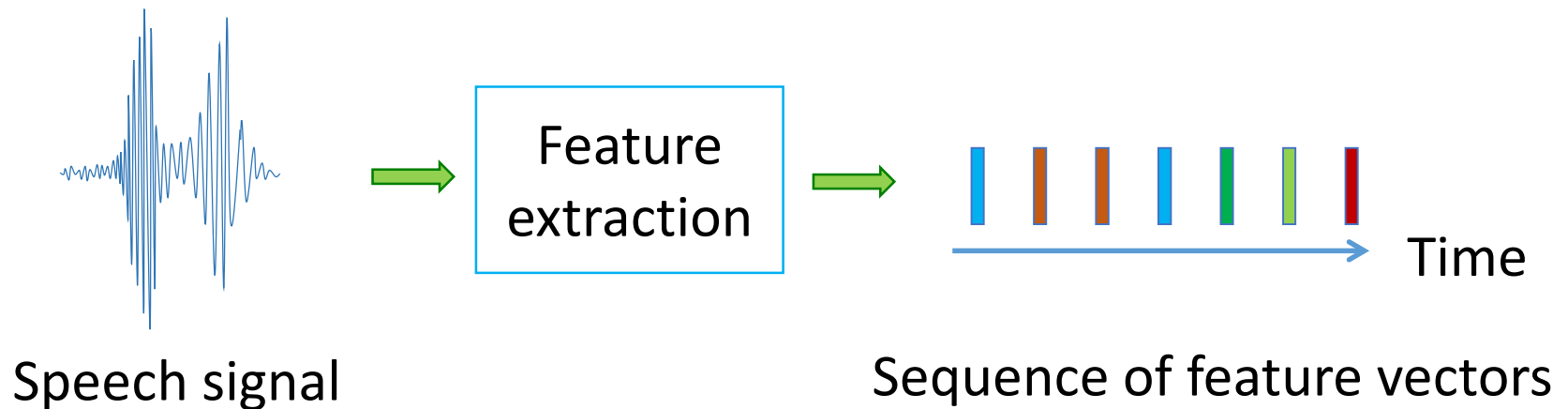
- Smartphone
  - Voice assistance
  - Speech-to-speech translation
- Judge
  - Speech retrieval system to support citizen judge
- Television
  - Automatic captioning system
- Car navigation
  - Voice commands
- Toy robots
  - Speech conversation



# Feature Extraction

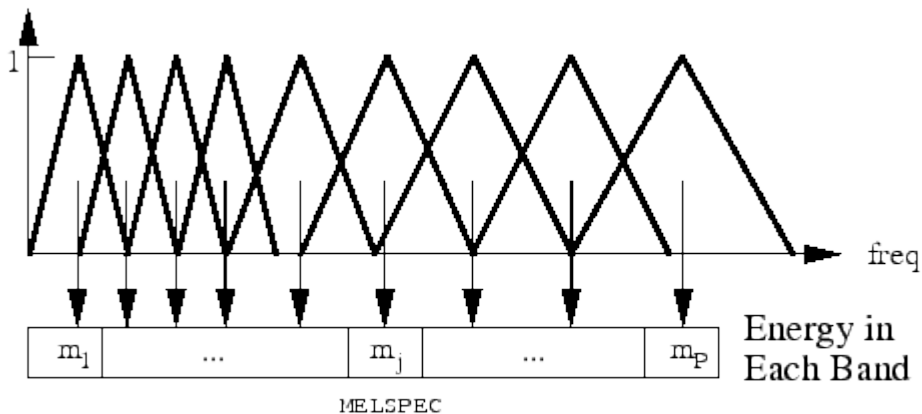
Extract useful information from the input signal in a convenient form for pattern recognition

- Help improving pattern recognition performance
- Reduce unnecessary memory and processing costs

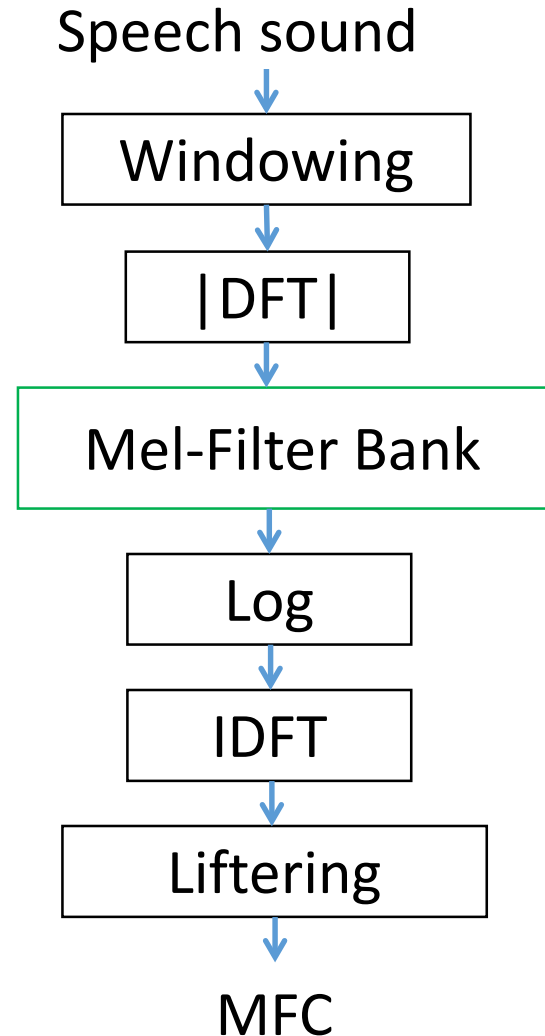


# Mel-Frequency Cepstrum (MFC)

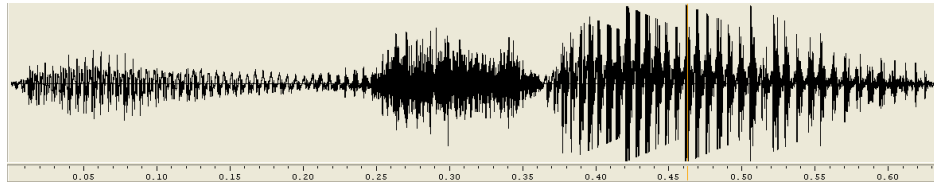
- Widely used features for speech recognition
- Emulate perceptual scale of pitches by using Mel-scale filter bank



Mel-Scale Filter Bank



# Typical Feature Extraction Process

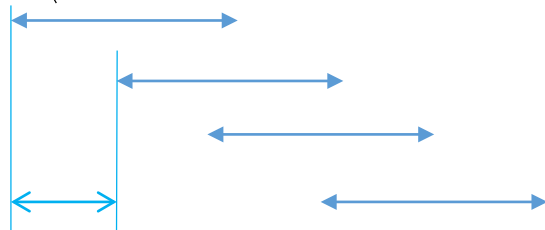
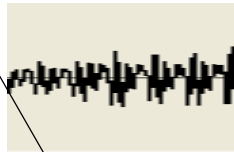


16kHz sampling  
16bit quantization

Time



Window width: 32ms (=512samples/16kHz)

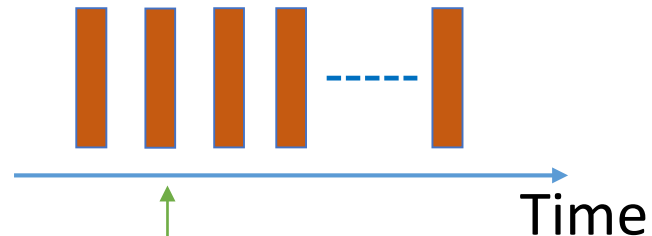


shift: 10ms

Feature sequence

Sequence of real valued vectors

Rate=100Hz



A vector is called a  
“frame”



# Speech Decoding

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**$O$** : Input acoustic features (or a feature sequence)  
 **$W$** : A symbol (or a symbol sequence) to recognize  
e.g. phone, word, word sequence, etc.



# Statistical Speech Recognition

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- Use probability distribution to model speech sounds

$$\hat{W} = \arg \max_W P(W | O)$$

Speech recognizer

Speech  
model

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# Brief Review of Probability Theory

# Probability Space

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- Sample space ( $\Omega$ )
  - Set of all possible outcomes of an experiment
- Probability function ( $f(x)$ )
  - A function that maps each outcome to a probability  
 $f(x) \in [0, 1]$  for all  $x \in \Omega$

$$\sum_{x \in \Omega} f(x) = 1$$

- Event ( $E$ )
  - Subset of the sample space  
Probability of an event  $E$  is :

$$P(E) = \sum_{x \in E} f(x)$$

# Random Variable

- A function that maps an outcome of an experiment to a value
  - Notation:  
“ $P[X=x] = p$ ” means “the probability of a random variable  $X$  takes a value  $x$  is  $p$ ”

## Example



X: The value of a die

Y: Whether the value of a die is odd number or not

Z: Whether the value of a die is larger than 2 or not

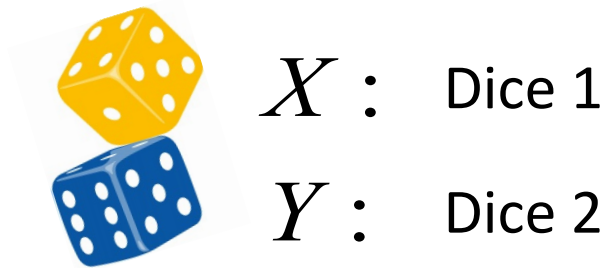
Random Variable	1	2	3	4	5	6
X	1	2	3	4	5	6
Y	1	0	1	0	1	0
Z	0	0	1	1	1	1

# Joint Probability

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- Probability that more than one events jointly occur

Example



$P(X = i, Y = j)$  : Probability that the value of  $X$  is  $i$  and the value of  $Y$  is  $j$

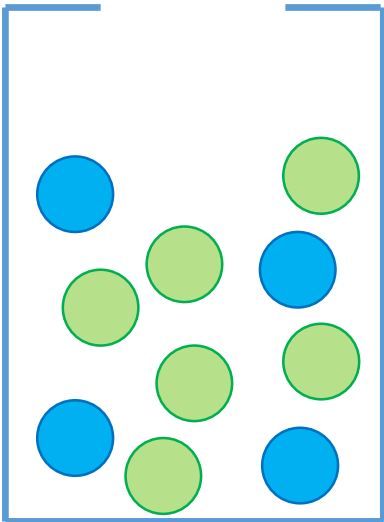
Note:  $P(X=i, Y=j) = P(Y=j, X=i)$

# Conditional Probability

- Probability of an event given that another event has occurred

Example

Randomly picks up two balls sequentially from a box containing 4 blue and 6 green balls



$X$  : Color of the first ball

$Y$  : Color of the second ball

$$P(Y = \textit{blue} \mid X = \textit{green}) = \frac{4}{9}$$

$$P(Y = \textit{blue} \mid X = \textit{blue}) = \frac{3}{9}$$

# Two Principal Rules

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- Sum rule

- Summing joint probability  $P(X, Y)$  for all possible values of  $Y$  gives probability of  $P(X)$
- $P(X)$  is called the marginal probability

$$P(X = x_i) = \sum_j P(X = x_i, Y = y_j)$$

- Product rule

- Product of probability  $P(X)$  and joint probability  $P(Y|X)$  is equal to joint probability  $P(X, Y)$

$$P(X = x_i, Y = y_j) = P(Y = y_j | X = x_i)P(X = x_i)$$



# Bayes' Theorem

- From the product rule, we obtain:

$$P(Y = y_j | X = x_i) = \frac{P(X = x_i | Y = y_j)P(Y = y_j)}{P(X = x_i)} \quad \text{for } \forall x_i, y_i$$

If we simplify the notation, we have:

$$P(Y | X) = \frac{P(X | Y)P(Y)}{P(X)}$$

← (Bayes' theorem)

Using the sum rule,  $P(Y|X)$  is obtained from joint probability as:

$$P(Y | X) = \frac{P(X | Y)P(Y)}{P(X)} = \frac{P(X, Y)}{\sum_Y P(X, Y)}$$

# Independence

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- If the joint distribution of two variables  $X$  and  $Y$  factorizes into the product of the marginals, then  $X$  and  $Y$  are said to be “independent”

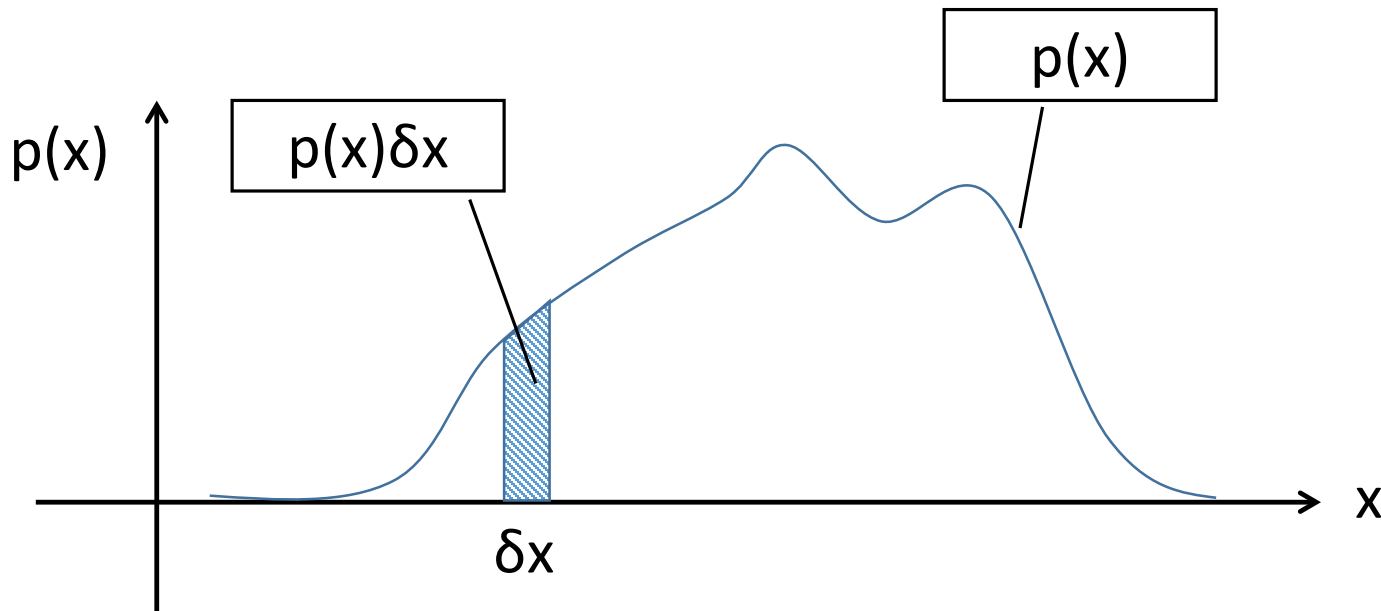
$$P(X, Y) = P(X | Y)P(Y) = P(X)P(Y) \quad \rightarrow \quad X \text{ and } Y \text{ are independent}$$

$$P(X, Y) = P(X | Y)P(Y) \neq P(X)P(Y) \quad \rightarrow \quad X \text{ and } Y \text{ are not independent}$$

# Probability Densities

- If the probability of a real-valued variable  $x$  falling in the interval  $(x, x+\delta x)$  is given by  $p(x)\delta x$  when  $\delta x \rightarrow 0$ ,  $p(x)$  is called the probability density of  $x$

$p(x)\delta x$  is probability  $\rightarrow p(x) \geq 0$  and  $\int_{-\infty}^{\infty} p(x)dx = 1$



# The Sum and The Product Rules For Continuous Variable

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$$P(X = x_i) = \sum_j P(X = x_i, Y = y_j)$$

$$\rightarrow p(x) = \int p(x, y) dy$$

$$P(X = x_i, Y = y_j) = P(Y = y_j | X = x_i)P(X = x_i)$$

$$\rightarrow p(x, y) = p(y | x)p(x)$$

# Expectation

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- Expectation of a function  $f(x)$  under a probability distribution  $p(x)$  is denoted by  $E[f]$

$$E[f] = \sum_x p(x) f(x) \quad (x \text{ is discrete})$$

$$E[f] = \int p(x) f(x) dx \quad (x \text{ is continuous})$$

# Mean and Variance

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- Mean
  - Synonym of the expectation  $E[f(x)]$
- Variance
  - A measure of how much variability there is in  $f(x)$  around its mean value  $E[f(x)]$

$$\text{var}[f] \equiv E[(f(x) - E[f(x)])^2] = E[f(x)^2] - E[f(x)]^2$$

- In particular, the variance of the variable  $x$  itself is:

$$\text{var}[x] = E[(x - E[x])^2]$$

# Covariance

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- Covariance
  - The extent to which  $x$  and  $y$  vary together

$$\begin{aligned}\text{cov}[x, y] &\equiv E_{x,y} [(x - E[x])(y - E[y])] \\ &= E_{x,y} [xy] - E[x]E[y]\end{aligned}$$

Expectation with respect to  
joint probability of  $x$  and  $y$

# Entropy

- Amount of randomness in the random variable

$$H[x] = E[-\log(p(x))] = -\sum_x p(x) \log p(x)$$

## Example

x	0	1
p(x)	0.5	0.5

$$\begin{aligned} H[x] &= -0.5 \log(0.5) - 0.5 \log(0.5) \\ &= 0.693 \end{aligned}$$

x	0	1
p(x)	0.1	0.9

$$\begin{aligned} H[x] &= -0.1 \log(0.1) - 0.9 \log(0.9) \\ &= 0.325 \end{aligned}$$



# Relative Entropy

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- A measure of dissimilarity of two distributions  $p$  and  $q$ 
  - Also called as kullback-Leibler (KL) divergence

$$\begin{aligned} KL(p \parallel q) &= E_p \left[ \log \left( \frac{p(x)}{q(x)} \right) \right] \\ &= - \int p(x) \log \left( \frac{q(x)}{p(x)} \right) dx \end{aligned}$$

- $KL(p \parallel q)$  is nonnegative.  
 $KL(p \parallel q) = 0$  if and only if  $p(x) = q(x)$

Note:  $KL(p \parallel q) \neq KL(q \parallel p)$

# Approximating Expectation with Sampling

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When  $x_1, x_2, \dots, x_N$  are samples independently drawn from a distribution  $p(x)$

$$E[f] = \sum_x p(x) f(x) \approx \frac{1}{N} \sum_{n=1}^N f(x_n) \quad (x \text{ is discrete})$$

$$E[f] = \int p(x) f(x) dx \approx \frac{1}{N} \sum_{n=1}^N f(x_n) \quad (x \text{ is continuous})$$

# Exercise 1.1

Joint probability of random variables  $A$  and  $B$  are given in the following table. According to the table, for example,

$$P(A = 0, B = 0) = 0.2$$

$P(A, B)$	B=0	B=1	B=2
A=0	0.2	0.1	0.1
A=1	0.3	0.2	0.1

1. Obtain  $P(A = 0)$
2. Obtain  $P(A = 0|B = 0)$